

# OTO Operational Financial Reports

Excludes the In-Kind Income/Expense

**Ozarks Transportation Organization**  
**Operational Profit & Loss**  
October through December 2019

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	Oct - Dec 19
<b>Ordinary Income/Expense</b>	
<b>Income</b>	
<b>Other Types of Income</b>	
Interest Income	2,166.43
Miscellaneous Revenue	278.52
<b>Total Other Types of Income</b>	2,444.95
<b>OTO Revenue</b>	
Consolidated Planning Grant CPG	109,566.21
Surface Trans Block Grant	50,267.60
<b>Total OTO Revenue</b>	159,833.81
<b>Total Income</b>	162,278.76
<b>Gross Profit</b>	162,278.76
<b>Expense</b>	
<b>Building</b>	
Building Lease	12,870.00
Common Area Main Exp	4,035.00
Maintenance	65.00
Office Cleaning	658.00
Utilities	624.23
<b>Total Building</b>	18,252.23
<b>Commodities</b>	
Office Supplies/Furniture	458.13
OTO Promotional Items	549.50
Publications	99.00
<b>Total Commodities</b>	1,106.63
<b>Information Technology</b>	
Data Storage/Backup	1,017.00
IT Maintenance Contract	2,192.00
Software	985.04
Webhosting	123.19
<b>Total Information Technology</b>	4,317.23
<b>Insurance</b>	
Professional Liability	113.00
<b>Total Insurance</b>	113.00
<b>Operating</b>	
<b>Copy Machine Lease</b>	
Lease Interest Expense	48.00
Lease Principal Expense	405.75
Maintenance for Copier	156.00
Toner & Overages	84.00
<b>Total Copy Machine Lease</b>	693.75
<b>Dues/Memberships</b>	733.17
<b>Education/Training/Travel</b>	
Hotel	914.75
Meals	193.82
Registration	129.00
Training	170.00
Transportation	177.31
<b>Total Education/Training/Travel</b>	1,584.88
<b>Food/Meeting Expense</b>	1,045.52
<b>Legal/Bid Notices</b>	78.90
<b>Postage/Postal Services</b>	368.09

**Ozarks Transportation Organization**  
**Operational Profit & Loss**  
 October through December 2019

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	Oct - Dec 19
Printing/Mapping Services	1,148.10
Staff Mileage Reimbursement	1,022.08
Telephone/Internet	1,024.38
<b>Total Operating</b>	7,698.87
<b>Personnel</b>	
Mobile Data Plans	540.00
Payroll Services	455.75
Salaries	135,128.90
<b>Total Personnel</b>	136,124.65
<b>Reconciliation Discrepancies</b>	-29.09
<b>Services</b>	
Audit	3,845.00
Legislative Education	1,092.97
Long Range Plan Update	2,115.73
Professional Services (Legal &	10,325.80
<b>Total Services</b>	17,379.50
<b>Total Expense</b>	184,963.02
<b>Net Ordinary Income</b>	-22,684.26
<b>Net Income</b>	<b>-22,684.26</b>

**Ozarks Transportation Organization**  
**Operational Profit & Loss Budget vs. Actual**  
 July 1, 2019 through January 23, 2020

	Jul 1, '19 - Jan 23, 20	Budget	\$ Over Budget	% of Budget
<b>Ordinary Income/Expense</b>				
<b>Income</b>				
<b>Other Types of Income</b>				
Interest Income	4,021.31	3,200.00	821.31	125.7%
Miscellaneous Revenue	1,533.08	0.00	1,533.08	100.0%
<b>Total Other Types of Income</b>	5,554.39	3,200.00	2,354.39	173.6%
<b>OTO Revenue</b>				
Consolidated Planning Grant CPG	167,855.64	558,554.00	-390,698.36	30.1%
Local Jurisdiction Match Funds	92,854.34	135,025.00	-42,170.66	68.8%
Surface Trans Block Grant	163,990.00	200,000.00	-36,010.00	82.0%
<b>Total OTO Revenue</b>	424,699.98	893,579.00	-468,879.02	47.5%
<b>Total Income</b>	430,254.37	896,779.00	-466,524.63	48.0%
<b>Gross Profit</b>	430,254.37	896,779.00	-466,524.63	48.0%
<b>Expense</b>				
Bank Fees	0.00	30.00	-30.00	0.0%
<b>Building</b>				
Building Lease	30,030.00	51,480.00	-21,450.00	58.3%
Common Area Main Exp	9,415.00	23,920.00	-14,505.00	39.4%
Infill Costs	0.00	2,000.00	-2,000.00	0.0%
Maintenance	130.00	4,000.00	-3,870.00	3.3%
Office Cleaning	2,498.00	4,400.00	-1,902.00	56.8%
Utilities	1,490.67	3,500.00	-2,009.33	42.6%
<b>Total Building</b>	43,563.67	89,300.00	-45,736.33	48.8%
<b>Commodities</b>				
Office Supplies/Furniture	1,620.29	7,000.00	-5,379.71	23.1%
OTO Media/Advertising	300.00	2,500.00	-2,200.00	12.0%
OTO Promotional Items	2,785.69	2,000.00	785.69	139.3%
Public Input Promotional Items	0.00	2,500.00	-2,500.00	0.0%
Publications	99.00	300.00	-201.00	33.0%
<b>Total Commodities</b>	4,804.98	14,300.00	-9,495.02	33.6%
<b>Information Technology</b>				
Computer Upgrades/Equip Replace	4,539.71	8,000.00	-3,460.29	56.7%
Data Storage/Backup	2,301.00	4,400.00	-2,099.00	52.3%
GIS Licenses	0.00	5,500.00	-5,500.00	0.0%
IT Maintenance Contract	5,009.00	12,000.00	-6,991.00	41.7%
Server Upgrade	5,620.68	6,000.00	-379.32	93.7%
Software	1,941.34	4,900.00	-2,958.66	39.6%
Webhosting	1,188.72	2,300.00	-1,111.28	51.7%

**Ozarks Transportation Organization**  
**Operational Profit & Loss Budget vs. Actual**  
 July 1, 2019 through January 23, 2020

	Jul 1, '19 - Jan 23, 20	Budget	\$ Over Budget	% of Budget
<b>Total Information Technology</b>	20,600.45	43,100.00	-22,499.55	47.8%
<b>Insurance</b>				
Directors & Officers	2,338.00	3,000.00	-662.00	77.9%
Errors & Omissions	0.00	3,000.00	-3,000.00	0.0%
Professional Liability	2,605.00	2,700.00	-95.00	96.5%
Workers Compensation	1,341.00	1,700.00	-359.00	78.9%
<b>Total Insurance</b>	6,284.00	10,400.00	-4,116.00	60.4%
<b>Operating</b>				
<b>Copy Machine Lease</b>				
Lease Interest Expense	112.00	1,623.00	-1,511.00	6.9%
Lease Principal Expense	946.75	192.00	754.75	493.1%
Maintenance for Copier	332.00	624.00	-292.00	53.2%
Toner & Overages	186.25	3,261.00	-3,074.75	5.7%
<b>Total Copy Machine Lease</b>	1,577.00	5,700.00	-4,123.00	27.7%
Dues/Memberships	3,566.51	5,500.00	-1,933.49	64.8%
Education/Training/Travel	9,061.25	23,000.00	-13,938.75	39.4%
Food/Meeting Expense	2,300.01	4,300.00	-1,999.99	53.5%
Legal/Bid Notices	353.70	2,500.00	-2,146.30	14.1%
Postage/Postal Services	422.06	1,800.00	-1,377.94	23.4%
Printing/Mapping Services	1,368.20	2,500.00	-1,131.80	54.7%
Public Input Event Registration	0.00	1,500.00	-1,500.00	0.0%
Staff Mileage Reimbursement	2,021.88	3,500.00	-1,478.12	57.8%
Telephone/Internet	2,787.13	5,000.00	-2,212.87	55.7%
<b>Total Operating</b>	23,457.74	55,300.00	-31,842.26	42.4%
<b>Personnel</b>				
Mobile Data Plans	1,260.00	3,240.00	-1,980.00	38.9%
Payroll Services	1,177.75	2,700.00	-1,522.25	43.6%
Salaries	290,544.99	528,152.00	-237,607.01	55.0%
<b>Total Personnel</b>	292,982.74	534,092.00	-241,109.26	54.9%
<b>Services</b>				
Aerial Photos	0.00	25,000.00	-25,000.00	0.0%
Audit	3,845.00	4,600.00	-755.00	83.6%
Legislative Education	4,758.54	7,000.00	-2,241.46	68.0%
Long Range Plan Update	2,115.73	10,000.00	-7,884.27	21.2%
Professional Services (Legal & TIP Tool Maintenance	12,356.80	24,000.00	-11,643.20	51.5%
Trans Consult/Model Services	9,600.00	9,600.00	0.00	100.0%
Travel Demand Model Update	0.00	30,000.00	-30,000.00	0.0%
Travel Sensing & Time Serv Proj	15,000.00	50,000.00	-35,000.00	30.0%
	2,490.24	3,000.00	-509.76	83.0%

**Ozarks Transportation Organization**  
**Operational Profit & Loss Budget vs. Actual**  
 July 1, 2019 through January 23, 2020

	Jul 1, '19 - Jan 23, 20	Budget	\$ Over Budget	% of Budget
<b>Total Services</b>	50,166.31	163,200.00	-113,033.69	30.7%
<b>Total Expense</b>	441,859.89	909,722.00	-467,862.11	48.6%
<b>Net Ordinary Income</b>	-11,605.52	-12,943.00	1,337.48	89.7%
<b>Net Income</b>	<b>-11,605.52</b>	<b>-12,943.00</b>	<b>1,337.48</b>	<b>89.7%</b>

Ozarks Transportation Organization  
**Balance Sheet**  
As of December 31, 2019

	<u>Dec 31, 19</u>
<b>ASSETS</b>	
<b>Current Assets</b>	
<b>Checking/Savings</b>	
ICS Depositor Control Account	224,779.09
Southern Bank--Money Market	175,264.42
Southern Bank-Sm Bus Checking	56,681.51
<b>Total Checking/Savings</b>	<u>456,725.02</u>
<b>Total Current Assets</b>	<u>456,725.02</u>
<b>TOTAL ASSETS</b>	<b><u>456,725.02</u></b>
<b>LIABILITIES &amp; EQUITY</b>	
<b>Liabilities</b>	
<b>Current Liabilities</b>	
<b>Credit Cards</b>	
Central Bank--Purchasing Card	1,425.67
<b>Total Credit Cards</b>	<u>1,425.67</u>
<b>Total Current Liabilities</b>	<u>1,425.67</u>
<b>Total Liabilities</b>	1,425.67
<b>Equity</b>	
Unrestricted Net Assets	401,837.60
Net Income	53,461.75
<b>Total Equity</b>	<u>455,299.35</u>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<b><u>456,725.02</u></b>

Ozarks Transportation Organization  
 Operating Fund Balance Report  
**FY 2020**

Date	Previous Balance	Deposits	Withdrawals	Current Balance
7/31/2019	\$28,325.11	\$160,087.55	\$78,332.65	\$110,080.01
8/31/2019	\$110,080.01	\$103,886.42	\$173,547.95	\$40,418.48
9/30/2019	\$40,418.48	\$148,917.29	\$62,161.26	\$127,174.51
10/31/2019	\$127,174.51	\$40,137.87	\$150,594.52	\$16,717.86
11/30/2019	\$16,717.86	\$90,554.72	\$96,983.40	\$10,289.18
12/31/2019	\$10,289.18	\$139,668.98	\$93,276.65	<b>\$56,681.51</b>
<b>Southern Bank, ICS Funds</b>				
7/31/2019	\$180,272.28	\$66.91	\$75,000.00	\$105,339.19
8/31/2019	\$105,339.19	\$112,216.99	\$35,000.00	\$182,556.18
9/30/2019	\$182,556.18	\$241.18	\$30,000.00	\$152,797.36
10/31/2019	\$152,797.36	\$80,315.56	\$40,000.00	\$193,112.92
11/30/2019	\$193,112.92	\$35,251.80	\$40,000.00	\$188,364.72
12/31/2019	\$188,364.72	\$36,414.37	\$0.00	<b>\$224,779.09</b>
<b>Southern Bank, Money Market</b>				
7/31/2019	\$203,006.39	\$387.94		\$203,394.33
8/31/2019	\$203,394.33	\$388.68		\$203,783.01
9/30/2019	\$203,783.01	\$376.86		\$204,159.87
10/31/2019	\$204,159.87	\$390.14		\$204,550.01
11/30/2019	\$204,550.01	\$378.28		\$204,928.29
12/31/2019	\$204,928.29	\$336.13	\$30,000.00	<b>\$175,264.42</b>

**Checkbook Ledger Balance**

Southern Bank & ICS Balances 12/31/2019	<b>\$456,725.02</b>
Outstanding Withdrawals Southern Bank	<b>\$0.00</b>
Total available Balance 12/31/2019	<b>\$456,725.02</b>

**FY 2020 UPWP Budget**  
**3 months of expenses**  
**6 months of expenses**

**\$948,192.00**  
**\$237,048.00**  
**\$474,096.00**

# OTO UPWP Financial Reports

Same as OTO Operational Financial Reports but includes In-Kind Income/Expense to match Unified Planning Work Program (OTO Consolidated Planning Grant) Budget.

**Ozarks Transportation Organization**  
**UPWP Profit & Loss**  
October through December 2019

	Oct - Dec 19
<b>Ordinary Income/Expense</b>	
<b>Income</b>	
<b>Other Types of Income</b>	
In-Kind Match, Donated Direct C	14,669.36
Interest Income	2,166.43
Miscellaneous Revenue	61.65
<b>Total Other Types of Income</b>	16,897.44
<b>OTO Revenue</b>	
Consolidated Planning Grant CPG	109,566.21
Surface Trans Block Grant	50,267.60
<b>Total OTO Revenue</b>	159,833.81
<b>Total Income</b>	176,731.25
<b>Gross Profit</b>	176,731.25
<b>Expense</b>	
<b>Building</b>	
Building Lease	12,870.00
Common Area Main Exp	4,035.00
Maintenance	65.00
Office Cleaning	658.00
Utilities	624.23
<b>Total Building</b>	18,252.23
<b>Commodities</b>	
Office Supplies/Furniture	538.13
Publications	99.00
<b>Total Commodities</b>	637.13
<b>In-Kind Match Expense</b>	
Direct Cost - MoDOT Salaries	5,632.77
Member Attendance at Meetings	9,036.59
<b>Total In-Kind Match Expense</b>	14,669.36
<b>Information Technology</b>	
Data Storage/Backup	1,017.00
IT Maintenance Contract	2,192.00
Software	976.64
Webhosting	123.19
<b>Total Information Technology</b>	4,308.83
<b>Insurance</b>	
Professional Liability	113.00
<b>Total Insurance</b>	113.00
<b>Operating</b>	
Copy Machine Lease	
Lease Interest Expense	48.00
Lease Principal Expense	405.75
Maintenance for Copier	156.00
Toner & Overages	84.00
<b>Total Copy Machine Lease</b>	693.75
Dues/Memberships	733.17
Education/Training/Travel	1,584.88
Food/Meeting Expense	1,045.52
Legal/Bid Notices	78.90
Postage/Postal Services	368.09
Printing/Mapping Services	1,148.10
Staff Mileage Reimbursement	1,022.08

**Ozarks Transportation Organization**  
**UPWP Profit & Loss**  
October through December 2019

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	<u>Oct - Dec 19</u>
Telephone/Internet	1,024.38
<b>Total Operating</b>	<b>7,698.87</b>
<b>Personnel</b>	
Mobile Data Plans	540.00
Payroll Services	455.75
Salaries	135,128.90
<b>Total Personnel</b>	<b>136,124.65</b>
<b>Services</b>	
Audit	3,845.00
Long Range Plan Update	2,035.73
Professional Services (Legal &	10,325.80
<b>Total Services</b>	<b>16,206.53</b>
<b>Total Expense</b>	<b>198,010.60</b>
<b>Net Ordinary Income</b>	<b>-21,279.35</b>
<b>Net Income</b>	<b><u>-21,279.35</u></b>

**Ozarks Transportation Organization**  
**UPWP Profit & Loss Budget vs. Actual**  
 July through December 2019

	Jul - Dec 19	Budget	\$ Over Budget	% of Budget
<b>Ordinary Income/Expense</b>				
<b>Income</b>				
<b>Other Types of Income</b>				
In-Kind Match, Donated Direct C	34,295.22	50,000.00	-15,704.78	68.6%
Interest Income	4,021.31	3,200.00	821.31	125.7%
Miscellaneous Revenue	1,307.17			
<b>Total Other Types of Income</b>	39,623.70	53,200.00	-13,576.30	74.5%
<b>OTO Revenue</b>				
Consolidated Planning Grant CPG	167,855.64	558,554.00	-390,698.36	30.1%
Local Jurisdiction Match Funds	92,854.34	135,025.00	-42,170.66	68.8%
Surface Trans Block Grant	163,990.00	200,000.00	-36,010.00	82.0%
<b>Total OTO Revenue</b>	424,699.98	893,579.00	-468,879.02	47.5%
<b>Total Income</b>	464,323.68	946,779.00	-482,455.32	49.0%
<b>Gross Profit</b>	464,323.68	946,779.00	-482,455.32	49.0%
<b>Expense</b>				
<b>Building</b>				
Building Lease	25,740.00	51,480.00	-25,740.00	50.0%
Common Area Main Exp	8,070.00	23,920.00	-15,850.00	33.7%
Infill Costs	0.00	2,000.00	-2,000.00	0.0%
Maintenance	65.00	4,000.00	-3,935.00	1.6%
Office Cleaning	2,169.00	4,400.00	-2,231.00	49.3%
Utilities	1,229.62	3,500.00	-2,270.38	35.1%
<b>Total Building</b>	37,273.62	89,300.00	-52,026.38	41.7%
<b>Commodities</b>				
Office Supplies/Furniture	1,700.29	7,000.00	-5,299.71	24.3%
Public Input Promotional Items	0.00	2,500.00	-2,500.00	0.0%
Publications	99.00	300.00	-201.00	33.0%
<b>Total Commodities</b>	1,799.29	9,800.00	-8,000.71	18.4%
<b>In-Kind Match Expense</b>				
Direct Cost - MoDOT Salaries	14,729.35	20,000.00	-5,270.65	73.6%
Member Attendance at Meetings	19,565.87	30,000.00	-10,434.13	65.2%
<b>Total In-Kind Match Expense</b>	34,295.22	50,000.00	-15,704.78	68.6%
<b>Information Technology</b>				
Computer Upgrades/Equip Replace	4,539.71	8,000.00	-3,460.29	56.7%
Data Storage/Backup	2,301.00	4,400.00	-2,099.00	52.3%
GIS Licenses	0.00	5,500.00	-5,500.00	0.0%
IT Maintenance Contract	5,009.00	12,000.00	-6,991.00	41.7%
Server Upgrade	5,620.68	6,000.00	-379.32	93.7%

**Ozarks Transportation Organization  
UPWP Profit & Loss Budget vs. Actual  
July through December 2019**

	Jul - Dec 19	Budget	\$ Over Budget	% of Budget
Software	1,932.94	4,900.00	-2,967.06	39.4%
Webhosting	1,188.72	2,300.00	-1,111.28	51.7%
<b>Total Information Technology</b>	<b>20,592.05</b>	<b>43,100.00</b>	<b>-22,507.95</b>	<b>47.8%</b>
<b>Insurance</b>				
Directors & Officers	2,338.00	3,000.00	-662.00	77.9%
Errors & Omissions	0.00	3,000.00	-3,000.00	0.0%
Professional Liability	2,605.00	2,700.00	-95.00	96.5%
Workers Compensation	1,341.00	1,700.00	-359.00	78.9%
<b>Total Insurance</b>	<b>6,284.00</b>	<b>10,400.00</b>	<b>-4,116.00</b>	<b>60.4%</b>
<b>Operating</b>				
Copy Machine Lease				
Lease Interest Expense	96.00	1,623.00	-1,527.00	5.9%
Lease Principal Expense	811.50	192.00	619.50	422.7%
Maintenance for Copier	280.00	624.00	-344.00	44.9%
Toner & Overages	158.25	3,261.00	-3,102.75	4.9%
<b>Total Copy Machine Lease</b>	<b>1,345.75</b>	<b>5,700.00</b>	<b>-4,354.25</b>	<b>23.6%</b>
Dues/Memberships	1,539.17	5,500.00	-3,960.83	28.0%
Education/Training/Travel	8,844.56	23,000.00	-14,155.44	38.5%
Food/Meeting Expense	2,202.95	4,300.00	-2,097.05	51.2%
Legal/Bid Notices	353.70	2,500.00	-2,146.30	14.1%
Postage/Postal Services	422.06	1,800.00	-1,377.94	23.4%
Printing/Mapping Services	1,368.20	2,500.00	-1,131.80	54.7%
Public Input Event Registration	0.00	1,500.00	-1,500.00	0.0%
Staff Mileage Reimbursement	1,733.80	3,500.00	-1,766.20	49.5%
Telephone/Internet	2,291.82	5,000.00	-2,708.18	45.8%
<b>Total Operating</b>	<b>20,102.01</b>	<b>55,300.00</b>	<b>-35,197.99</b>	<b>36.4%</b>
<b>Personnel</b>				
Mobile Data Plans	1,035.00	3,240.00	-2,205.00	31.9%
Payroll Services	841.25	2,700.00	-1,858.75	31.2%
Salaries	250,412.91	528,152.00	-277,739.09	47.4%
<b>Total Personnel</b>	<b>252,289.16</b>	<b>534,092.00</b>	<b>-281,802.84</b>	<b>47.2%</b>
<b>Services</b>				
Aerial Photos	0.00	25,000.00	-25,000.00	0.0%
Audit	3,845.00	4,600.00	-755.00	83.6%
Long Range Plan Update	2,035.73	10,000.00	-7,964.27	20.4%
Professional Services (Legal & TIP Tool Maintenance	12,356.80	24,000.00	-11,643.20	51.5%
Trans Consult/Model Services	9,600.00	9,600.00	0.00	100.0%
Travel Demand Model Update	0.00	30,000.00	-30,000.00	0.0%
	0.00	50,000.00	-50,000.00	0.0%

**Ozarks Transportation Organization**  
**UPWP Profit & Loss Budget vs. Actual**  
 July through December 2019

	Jul - Dec 19	Budget	\$ Over Budget	% of Budget
Travel Sensing & Time Serv Proj	2,490.24	3,000.00	-509.76	83.0%
<b>Total Services</b>	30,327.77	156,200.00	-125,872.23	19.4%
<b>Total Expense</b>	402,963.12	948,192.00	-545,228.88	42.5%
<b>Net Ordinary Income</b>	61,360.56	-1,413.00	62,773.56	-4,342.6%
<b>Net Income</b>	<b>61,360.56</b>	<b>-1,413.00</b>	<b>62,773.56</b>	<b>-4,342.6%</b>